

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/623,788
Filing Date	July 21, 2003
First Named Inventor	Forbes, Leonard
Group Art Unit	2822
Examiner Name	Perkins, Pamela

Sheet 1 of 2

Attorney Docket No: 1303.109US1

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-20070164361A1	07/19/2007	Forbes, L.	02/13/2007
	US-7,262,428	08/28/2007	Forbes, L.	11/03/2004
	US-7,271,445	09/18/2007	Forbes, L.	08/31/2004
	US-7,273,788	09/25/2007	Forbes, L.	05/21/2003

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Application Serial No. 10-379749 (Atty Ref 1303.089US1) Amendment under 37 C.F.R. 1.312 filed 11-1-2006", 3 PGS	
		"Application Serial No. 10-379749 (Atty Ref 1303.089US1) Notice of allowance mailed 12-13-2004", 6 PGS	
		"Application Serial No. 10-379749 (Atty Ref 1303.089US1) Notice of allowance mailed 8-3-2006", 2 PGS	
		"Application Serial No. 10-425484 (Atty Ref 1303.095US1) non-final office action mailed 6-28-2004", 5 PGS	
		"Application Serial No. 10-425484 (Atty Ref 1303.095US1) Notice of allowance mailed 12-20-2004", 2 PGS	
		"Application Serial No. 10-425484 (Atty Ref 1303.095US1) Notice of allowance mailed 12-21-2006", 4 PGS	
		"Application Serial No. 10-425484 (Atty Ref 1303.095US1) Response filed 9-28-2004 to non-final office action mailed 6-28-2004", 19 PGS	
		"Application Serial No. 10-431137 (Atty Ref 1303.100US1) Notice of allowance mailed 1-27-2005", 4 PGS	
		"Application Serial No. 10-431137 (Atty Ref 1303.100US1) Notice of allowance mailed 5-19-2006", 4 PGS	
		"Application Serial No. 10-431137 (Atty Ref 1303.100US1) Notice of allowance mailed 5-21-2004", 7 PGS	
		"Application Serial No. 10-931580 (Atty Ref 1303.100US2) non-final office action mailed 2-10-2005", 8 PGS	
		"Application Serial No. 10-931580 (Atty Ref 1303.100US2) non-final office action mailed 7-27-2005", 8 PGS	
		"Application Serial No. 10-931580 (Atty Ref 1303.100US2) Notice of allowance mailed 12-22-2005", 5 PGS	
		"Application Serial No. 10-931580 (Atty Ref 1303.100US2) Response filed 10-17-2005 to non-final office action mailed 7-27-2005", 13 PGS	
		"Application Serial No. 10-931580 (Atty Ref 1303.100US2) Response filed 5-10-2005 to non-final office action mailed 2-10-2005", 15 PGS	
		"Application Serial No. 10-931749 (Atty Ref 1303.095US2) Notice of allowance mailed 9-9-2005", 3 PGS	

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	<b>Application Number</b>	10/623,788
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	<b>Group Art Unit</b>	2822
	<b>Examiner Name</b>	Perkins, Pamela
Sheet 2 of 2	Attorney Docket No: 1303.109US1	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Application Serial No. 11-210927 (Atty Ref 1303.089US2) non-final office action mailed 8-15-2006", 5 PGS	
		"Application Serial No. 11-210927 (Atty Ref 1303.089US2) Notice of allowance mailed 11-27-2006", 2 PGS	
		"Application Serial No. 11-210927 (Atty Ref 1303.089US2) Response filed 11-6-2006 to non-final office action mailed 8-15-2006", 9 PGS	
		"Application Serial No. 11/707,214 (Atty Ref 1303.089US3), Non-Final Office Action mailed 10-12-07", 16 pgs.	
		FORBES, LEONARD , "STRAINED SEMICONDUCTOR, DEVICES AND SYSTEMS AND METHODS OF FORMATION," , <u>U.S. Application No. 11/497,632, filed August 2, 2006</u>	

EXAMINER

DATE CONSIDERED